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*Admitted only in Maryland
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Federal Agencies

September 30, 2005

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Art Unit 2655

Attn: Mail Stop Amendment

Re: U.S. Utility Patent Application
Application No. 10/017,435; Filed: December 14, 2001
For: **Voice Application Development Methodology**
Inventors: **PHILLIPS et al.**
Our Ref: 2222.0820003

Sir:

Transmitted herewith for appropriate action are the following documents:

1. First Supplemental Information Disclosure Statement;
2. Credit Card Payment Form (PTO-2038) in the amount of **\$180.00** to cover Information Disclosure Statement Fee;
3. Form PTO-1449 (two (2) sheets) listing twenty-three (23) documents; and
4. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
September 30, 2005
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Nicole D. Dretar
Attorney for Applicants
Registration No. 54,076

NDD/srb
Enclosures

449178_1.DOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PHILLIPS *et al.*

Appl. No.: 10/017,435

Filed: December 14, 2001

For: **Voice Application Development
Methodology**

Confirmation No.: 6756

Art Unit: 2655

Examiner: Wozniak, J.

Atty. Docket: 2222.0820003



Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent

application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☒ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was

first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

☒ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document appears below:
- ☒ 7. In accordance with 37 C.F.R. 1.98(a)(2), copies of the 'U.S. Patent Documents' cited on the attached Form PTO-1449 are not enclosed.
- ☒ 8. Copies of the documents AR1, AS1, AT1, AR2, AS2, and AT2 were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 09/855,004, filed May 14, 2001, which is relied upon for

an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

- ☒ 9. It is expected that the examiner will review the prosecution and cited art in the parent Application No. 09/855,004, filed May 14, 2001, and Application No. 09/290,508, filed April 12, 1999, now U.S. Patent No. 6,408,272, in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

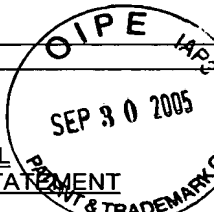


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FORM PTO-1449

ATTY. DOCKET NO.
2222.0820003

APPLICATION NO.
10/017,435

FIRST SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

INVENTORS
PHILLIPS *et al.*

FILING DATE
December 14, 2001

ART UNIT
2655

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	4,525,793	07/1985	Stackhouse			
	AB1	5,812,977	09/1998	Douglas			
	AC1	5,819,220	10/1998	Sarukkai <i>et al.</i>			
	AD1	5,926,789	07/1999	Barbara <i>et al.</i>			
	AE1	5,946,050	08/1999	Wolff			
	AF1	5,953,392	09/1999	Rhie <i>et al.</i>			
	AG1	5,953,700	09/1999	Kanevsky <i>et al.</i>			
	AH1	5,956,683	09/1999	Jacobs <i>et al.</i>			
	AI1	5,960,399	09/1999	Barclay <i>et al.</i>			
	AJ1	5,963,618	10/1999	Porter			
	AK1	6,078,886	06/2000	Dragosh <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1						Yes No
	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	1	Hanrieder, G., "Integration Of A Mixed-Initiative Dialogue Manager Into Commercial IVR Platforms," <i>Proceedings Of The 1998 IEEE 4th Workshop On Interactive Voice Technology for Telecommunications Applications</i> , pp. 77-82 (September 29-30, 1998).
	AS	1	"magicTalk Voice Application Development Platform," from http://www.generalmagic.com/voice/platform.shtml , 2 pages (printed on May 14, 2001).
	AT	1	"Nuance Speech Objects™", from http://www.nuance.com/products/speechobjects.html , 3 pages (printed on May 14, 2001).

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
2222.0820003APPLICATION NO.
10/017,435FIRST SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENTINVENTORS
PHILLIPS *et al.*FILING DATE
December 14, 2001ART UNIT
2655

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2	6,098,043	08/2000	Forest <i>et al.</i>			
	AB2	6,101,473	08/2000	Scott <i>et al.</i>			
	AC2	6,185,535	02/2001	Hedin <i>et al.</i>			
	AD2	6,385,583	05/2002	Ladd <i>et al.</i>			
	AE2	6,578,000	06/2003	Dodrill <i>et al.</i>			
	AF2	2002/0164000A1	11/2002	Cohen <i>et al.</i>			
	AG2						
	AH2						
	AI2						
	AJ2						
	AK2						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2						Yes No
	AM2						Yes No
	AN2						Yes No
	AO2						Yes No
	AP2						Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	"SpeechObjects™ & VoiceXML," White Paper, Nuance Communications, Inc., from http://www.nuance.com/pdf/SpeechObjectsVoiceXMLWP.pdf , 14 pages (2000).
AS	2	"SpeechObjects: An Architectural Overview," White Paper, Nuance Communications, Inc., from http://www.nuance.com/SpeechObjects_whitepaper050100mm.pdf , 16 pages (printed on May 14, 2001).
AT	2	"Voice Gateway," from http://www.generalmagic.com/voice/gateway.shtml , 3 pages (printed on May 14, 2001).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.